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3624

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Altomare et al.
Serial No. : 09/397,704
Filed : September 16, 1999

Art Unit : 3624
Examiner : Jagdish Patel

Title : SYSTEM AND METHOD FOR ADMINISTERING PRINCIPAL PROTECTED
EQUITY LINKED FINANCIAL INSTRUMENTS

Commissioner for Patents
P.O. Box 1450
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Application Number	09/397,704
Filing Date	September 16, 1999
First Named Inventor	Gerald C. Altomare
Title	SYSTEM AND METHOD FOR
Art Unit	
Examiner Name	
Attorney Docket Number	040158

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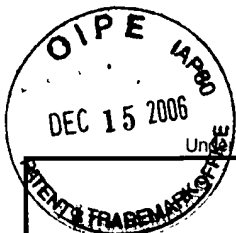
☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature		Date	12/13/06
Name	U. GARY BEESON	Telephone	212 537 2448
Title and Company	Morgan Stanley, Assistant Secretary		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Gerald C. Altomare et al.Application No./Patent No.: 09/397,704 Filed/Issue Date: September 16, 1999Entitled: SYSTEM AND METHOD FOR ADMINISTERING PRINCIPAL PROTECTED EQUITY LINKED FINANCIAL INSTRUMENTS

Morgan Stanley, a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

12/13/06

Date

212 537 2448

Telephone number

W. GARY BEESON

Typed or printed name

Signature

Assistant Secretary

Title

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